FEB 2 3 2004 INF

stitute for form 1449A/PTO

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 1

-	Complete if Known
Application Number	10/650,360
Filing Date	August 27, 2003
First Named Inventor	Leung, Albert
Art Unit	2189 Z189
Examiner Name	Unassigned PEIKAR'
Attorney Docket Number	021154-000810US

	U.S. PATENT DOCUMENTS+						
	Document Number			•	<b>↑</b> • • • •		
Examiner Initials*	Cite No.1	Number Kind Code <sup>2</sup> (if known)	Publication Oate MM-DD-YYYY	Name of Patentae or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		
BSP	AA	US-5,367,698	11-22-1994	Webber et al.			
1	AB	US-5,333,315	07-26-1994	Saether et al.	·		
BUP	AC	US-5,276,867	01-04-1994	Kenley et al.			
					_		
					in the second se		
					_		
•							
				1 - 1			
•							
				1			

			•	FOREIGN PA	TENT DOCUME	NTS		
Examiner Initials*	Cite No.1	For	eign Patent Doo Number <sup>4</sup>	Kind Code <sup>4</sup> ( <i>If known</i> )	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T⁰
			•	•				
			•					
			-					

Examiner Signature	5hni	Date Considered 8/20/06

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Kind Codes of U.S. Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>6</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

INFORMATION DISCLOSURE
STATEMENT BY APPLICANT

(use as many sheets as necessary)

Application of the property of the property

Sheet

	Complete if Known
Application Number	10/650,360
Filing Date	August 27, 2003
First Named Inventor	Leung, Albert
Art Unit	<del>2186</del> - 2189
Examiner Name	Unassigned PEIKAIC"
Attorney Docket Number	021154-000810US

			U.S. PATENT DO	CUMENTS+	
Examiner Initials*	Cite No.	Document Number  Number Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
B>P.	AA	US-6,519,637	02-11-2003	Arkeketa, et al.	
	AB	US-6,493,756	12-10-2002	O'Brien, et al.	
	AC	US-6,460,070	10-01-2002	Turck, et al.	
	AD	US-6,453,325	09-17-2002	Cabrera et al.	
	AE	US-6,366,988	04-02-2002	Skiba et al.	
	AF	US-6,339,793	01-15-2002	Bostian et al.	
	AG	US-6,330,572	12-22-2001	Sitka	
	АH	US-6,269,382	07-31-2001	Cabrera et al.	
	Al	US-6,154,817	11-28-2000	Mohan et al.	
	AJ	US-6,038,379	03-14-2000	Fletcher et al.	
	AK	US-6,035,373	03-07-2000	Iwata	
	AL	US-5,991,753	11-23-1999	Wilde	
	AM	US-5,933,603	08-03-1999	Vahalia et al	
	AN	US-5,798,766	08-25-1998	Hayashi et al.	
	AO	US-5,778,395	07-07-1998	Whiting et al.	
	AP	US-5,689,681	11-18-1997	Petersen	
	AQ	US-5,606,689	02-25-1997	Nakagawa	
	AR	US-5,506,986	04-09-1996	Healy .	
	AS	US-5,485,606	01-16-1996	Midgley et al.	
	ΑT	US-5,202,982	04-13-1993	Gramlich et al.	
	ΑÜ	US-5,131,087	07-14-1992	Warr	
	AV	US-5,063,523	11-05-1991	Milan J. Vrenjak	
T	AW	US-2002/0174139	11-21-2002	Midgley et al.	
BUP	AX	US-2001/0037475	11-01-2001	Bradshaw et al.	
			ļ.	1 .	

				<b>FOREIGN PA</b>	TENT DOCUME	INTS		
Examiner Cite		Fore	eign Patent Doo	zument :	Dublication Date	Name of Patentee or	Pages, Columns, Lines, Where Relevant	
Initials* No.1		Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>®</sup> (# known)	Publication Date MM-DD-YYYY	Applicant of Cited Document	Passages or Relevant Figures Appear	T <sup>6</sup>
	-							

Examiner Signature	Phu	Date Considered	8/20/06	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 

Applicant's unique citation designation number (optional). 

Kind Codes of U.S. Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. 

Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 

For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 

Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 

Applicant is to place a check mark here if English language Translation is attached. 

60211655 v1

Substitute fo	Substitute for form 1449B/PTO				Complete if Known
MEGE		LDICCI	OCUDE.	Application Number	10/650,360
		N DISCL		Filing Date	August 27, 2003
STATE	STATEMENT BY APPLICANT			First Named Inventor	Leung, Albert
				Art Unit	2186 Z189
(us	(use as many sheets as necessary)		Examiner Name	Unassigned PE/KAR;	
Sheet	2	of	2	Attorney Docket Number	021154-000810US

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T 2
BJP	AY	BHATTACHARYA et al., "Coordinating Backup/Recovery and Data Consistency Between Database and File Systems," Procs. 2002 ACM SIGMOD Int. Conf. on Management of Data, pgs. 500-511 (2002).	
1	AZ	HSM For NetWare- White Paper: A Guide to Hierarchical Storage Management from http://support.ca.com/techbases/hsm/HSMWhitePaper.html visited on 01/10/2003.	
	ВА	POWERS, et al. (July 1999). "Complementing AS/400 Storage Management Using Hierarchical Storage Management APIs, A Practical Approach to AS/400 Storage Management," International Technical Support Organization, from http://www.redbooks.ibm.com/pubs/pdfs/redbooks/sg244450.pdf visited on 01/10/2003	
1	ВВ	SafeCapacity <sup>TM</sup> User's Manual Version 2.14 (01/01/2002) Solution-Soft, Santa Clara, CA 48 pages total.	
Byl	вс	WELCH et al., "A Resilient Application-Level Failure Detection System for Distributed Computing Environments," Computers and Communications (1995) IEEE Symposium on 27-29 Jun 1995-96.	
:			
			1
-			
			T

Examiner Signature	Flui	Date Considered	8/20/06	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached.



## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Sheet 1 of 3

	Complete if Known
Application Number	10/650,360
Filing Date	August 27, 2003
First Named Inventor	Leung, Albert
Art Unit	2188 7189
Examiner Name	Padmanabhan, Mane PEIKAK
Attorney Docket Number	021154-000810US

			U.S. PATENT DO	CUMENTS+	
Examiter Into of	C to	Decument Number     Number Kind Cede <sup>2</sup> (Il Leave)	Publication Date state-00-YYYY	Name of Potentoe or Applicant of Cited Decument	Pagas, Calumns, Lines, Whare Relevant Passages or Relevant Figures Appuar
۹۲۵	АΛ	US-6,631,477	10-07-2003	LeCrone et al.	
	AH	US-6,584,497	06-24-2003	Case et al.	
	AC	US-6,449,731	09-10-2002	Frey, Jr.	
	AD	US-6,415,280	07-02-2002	Furber et al.	
	Ali	US-6,404,925	06-11-2002	Foote et al.	
	AF	US-6,330,610	12-11-2001	Doctor et al.	
	AG	US-6,023,709	02-08-2000	Anglin et al.	•
	AH	US-5,983,318	11-09-1999	Willson et al.	
	Al	US-5,873,103	02-16-1999	Trede et al.	
	AJ	US-5,819,296	10-06-1998	Anderson et al.	
	AK	US-5,708,806	01-13-1998	DeRose et al.	
	AL,	US-5,475,834	12-12-1995	Anglin et al.	
	ΛM	US-5,471,677	11-28-1995	Imanaka	
	AN	US-5,053,948	10-01-1991	DeCiute et al.	
	AO	US-2005/0033757	02-10-2005	Greenblatt et al.	
	AP	US-2005/0021566	01-27-2005	Mu	
	AQ	US-2005/0015409	01-20-2005	Wen et al.	
	AR	US-2004/0163029	08-19-2004	Foley et al.	
	AS	US-2004/0083202	04-29-2004	Mu et al.	
	AT	US-2004/0054656	03-18-2004	Leung et al.	
	AU	US-2004/0049513	03-11-2004	Yakir et al.	
	AV	US-2003/0115204	06-19-2003	Greenblatt et al.	
	AW	US-2003/0046270	03-06-2003	Leung et al.	
	AX	US-2003/0046313	03-06-2003	Leung et al.	
1	AY	US-2002/0138251	09-26-2002	Geary	
968	A7.	US-2001/0034795	10-25-2001	Moulton et al.	

$\overline{}$	<del></del>			
Examiner Signature	Shu:	Date Considered	8/20/06	

Substitute for form 1449A/PTO					Complete if Known
				Application Number	10/650,360
INFORMATION DISCLOSURE			CLOSURE	Filing Date	August 27, 2003
STA	TEMENT E	BY A	PPLICANT	First Named Inventor	Leung, Albert
3				Art Unit	2188 2189
ļ	(use as many sh	eets as	necessary)	Examiner Name	Padmanahhan, Mano PEIKARI
Sheet	2	of	3	Attorney Docket Number	021154-000810US

			FOREIGN PA	TENT DOCUME	NTS		
Examiner Initials*	C:te No	i .	Policy Control of the	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Rolevant Passages or Relevant Figures Appear	Ţ.
ZSP	ВА	(N.O.	Number Kira Cesta <sup>®</sup> (11 pears) 05 001046	01-06-2005		1 Haires Appear	
- 31		•		<del>*</del>			1
	BB	i WO	04 109663	12-16-2004		i	
	BC	wo	04/109556	12-16-2004		<u> </u>	
	BD	, //·O	04 051481	06-17-2004			
	BE	///O	04/021225	03-11-2004			
	BF	WO	04/021224	03-11-2004			
	BG	wo	04/021223	03-11-2004			
	ВН	wo	04/021123	03-11-2004			
	BI	wo	03/052620	06-26-2003			
	BJ	WO	03/025795	03-27-2003			
BJP	BK	wo	03/021441	03-13-2003			

Examiner Signature	Shu.	Date Considered	8/20/06	

Substitute fo	r form 1449B/P	то		Complete if Known			
			001105	Application Number	10/650,360		
	-		OSURE	Filing Date	August 27, 2003		
STATE	EMENT	BY APP	LICANT	First Named Inventor	Leung, Albert		
				Art Unit	2186 Z189		
(us	(use as many sheets as necessary)			Examiner Name	Padmanabhan, Mane Rei KAR;		
Sheet	3	of	3	Attorney Docket Number	021154-000810US		

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials *	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T 2
B>Y	В1.	Microsoft Corporation, Microsoft Windows 2000 Server white paper, Enterprise Class Storage in Windows 2000, 1999, pgs. 11, 19, 20.	
1	вм	The PC Guide, May 11, 2001, downloaded from http://web.archive.org/web/20010626140029/www.pcguide.com/ref/hdd/file/ntfs/secRes-c.html on 4/7/04	
BJP	BN	SIYAN, K.S., "Windows 2000 Server Professional Reference, July 10, 2000, ISBN 0-7357-0952-1," Implementing File System Security Using File Permissions, chapter 9.	

Examiner Signature	Bhu:	Date Considered	8/20	106	

Substitut	te for form 1449A/P	W.	MARIN		Complete if Known
				Application Number	10/650,360
INFO	DRMATION	N DIS	SCLOSURE	Filing Date	August 27, 2003
STA	TEMENT I	BY A	PPLICANT	First Named Inventor	Leung, Albert
				Art Unit	2488_ 7189
	(use as many st	neets as	necessary)	Examiner Name	Padmanabhan, Mano PEIFAR
Sheet	1	of	2	Attorney Docket Number	021154-000810US

	U.S. PATENT DOCUMENTS+										
		Document Number									
Examiner Initials*	Cite No.'	Number Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear						
				<del> </del>							
			<del> </del>								

				FOREIGN PA	TENT DOCUME	NTS		
Examiner	Cite	Fore	eign Patent Doc	ument	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where Relevant	
Initials*	Initials* No.3 Country Code3 Number4 Kind Code4 (# known)	Applicant of Cited Document	Passages or Relevant Figures Appear	T⁰				
		ļ						<del>  </del>
		<u>                                     </u>						
		<u> </u>	<del> </del>					
				<del></del>				
						•		
	<b> </b>	ļ		-				
		<del> </del>	_	<del></del>				
							<u></u>	

				_	
Examiner Signature	Thum	Date Considered	8/	20	06

Substitute fo	or form 1449B/P	то		Complete if Known		
		LDICOL	OCUDE	Application Number	10/650,360	
INFORMATION DISCLOSURE				Filing Date	August 27, 2003	
STATI	STATEMENT BY APPLICANT			First Named Inventor	Leung, Albert	
				Art Unit	2188 2189	
(u.	(use as many sheets as necessary)			Examiner Name	Padmanabhan, Mane Reissey	
Sheet	2	of	2.	Attorney Docket Number	021154-000810US	

		NON PATENT LITERATURE DOCUMENTS						
Examiner Initials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²					
BSP	AA	MOORE et al., "Policy Core Information Model Extensions," Internet Draft, DRAFT-IETF-POLICY-PCIM-EXT-06.TXT, 11/8/01, pages 1-85 downloaded from http://www.watersprings.org/pub/id/draft-ietf-policy-pcim-ext-06.txt on 2/2/05.						
	AB	STRASSNER et al., "Policy Framework Definition Language," Internet Draft, DRAFT-IETF-POLICY-FRAMEWORK-PFDL-00.TXT, 11/17/98, pages 1-18 downloaded from http://www.watersprings.org/pub/id/draft-ietf-policy-framework-pfdl-00.txt on 3/2/05.						
	AC	WATERS et al., "Policy Framework Architecture," Internet Draft, DRAFT-IETF-POLICY-ARCH-00.TXT, 2/26/99, pages 1-18 downloaded from http://www.watersprings.org/pub/id/draft-ietf-policy-arch-00-txt on 3/2/05.						
BY	AD	WOLFSON et al., "Managing Communication Networks by Monitoring Databases," <u>IEEE Trans. Software Engineering</u> , 17(9):944-953 (1991).						
		9						
,								

Examiner Signature	8 M	Date Considered	8/20	86

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached.



**INFORMATION DISCLOSURE** STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 2 of

	Complete if Known
Application Number	10/650,360
Filing Date	August 27, 2003
First Named Inventor	Leung, Albert
Art Unit	-2188 2189
Examiner Name	Padmanabhan Mano PEIKAR
Attorney Docket Number	021154-000810US

	U.S. PATENT DOCUMENTS+								
		Document Number							
Examiner Initials*	Cite No.1	Number Kind Code <sup>2</sup> (if known)	Publication Cate MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear				
358	AA	US-6,941,560	09/06/2005	Lowry et al.					
1	AB	US-6,915,338	07/05/2005	Hunt et al.					
	AC	US-6,839,766	01/04/2005	Pamafes et el.					
	AD	US-6,678,248	01/13/2004	Haddock et al.					
	AE	US-6,662,235	12/09/2003	Callis et al.					
	AF	US-6,654,864	11/25/2003	Shaath et al.					
	AG	US-6,466,952	10/15/2002	Hanes et al.					
	AH	US-6,438,642	08/20/2002	Shaath	•				
	Al	US-6,370,545	04/09/2002	Shaath					
<del>ይ</del> አየ	AJ	US-6,336,175	01/01/2002	Shaath et al.	-				
	AK	US-							
	AL	ÚS-							
	AM	US-							
	AN	US-							
	AO	US-							
	AP	US-			<del></del>				
	AQ	US-							
	AR	US-							
	AS	UŞ-							
	AT	US-			<del> </del>				

	FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No.1	Ford	eign Patent Doo Number <sup>4</sup>	Kind Code <sup>®</sup> (# known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T⁰	
	AU		•						
	AV								
	AW								
	AX								
	AY								
	AZ				i i				
	BA					•			
	88					•			

Examiner Signature	& her	Date Considered	8/20	106

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered, include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). Kind Codes of U.S. Patent Documents at <a href="https://www.usplo.gov">www.usplo.gov</a> or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. If Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. Applicant is to place a check mark here if English language Translation is attached. 60658832 v1

Substitute	for form 1449B/PT	0		Complete if Known		
INFORMATION DIGGLOCUES				Application Number	10/650,360	
	INFORMATION DISCLOSURE STATEMENT BY APPLICANT			Filing Date	August 27, 2003	
STAT				First Named Inventor	Leung, Albert	
				Art Unit	2188 2189	
(4	(use as many sheets as necessary)			Examiner Name	Radmanabhan Mano Pelypus	
Sheet	2	of	2	Attorney Docket Number	021154-000810US	

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	Τ;
PLE	вс	SUN (Sun Microsystems, Inc., "Solstice Backup™ 5.5, Administration Guide", Part No. 806-1135-10, September 1999, Revision A), pg. 1-2, 140-141.	
	BD		
	BE		
	BF	·	
	BG		
	вн		
	ВІ		
	BJ		
	ВК		
	BL		
	ВМ	·	

Examiner Signature	Bhr	 Date Considered	8	20	10.6

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached.

## RECEIVED CENTRAL FAX CENTER

NO.952

P.5

### MAR 2 8 2006

PTO/SB/08A (07-05)

Sub	Substitute for form 1449APTO			Complete if Known		
				Application Number	10/850,360	
IN	FORMATION DISC	CLQ	SURE	Filing Date	August 27, 2003	
3	TATEMENT BY AF	PLI	CANT	First Named Inventor	Leung, Albert	
İ				Art Unit	2189	
	(Uso as many shoots as no	202£#1	וע	Examinar Name	Behzad Pelkari	
Sheet	1	of	2	Attorney Docket Number	021154-000810US	

			U.S. PATENT DO	CUMENTS	
Examiner Inflater	No.	Document Number  Number Kind Code Warne	MM-DD-YYYY	Marria of Patentias or Applicant of Cited Document	Pages, Columns, Unes, Where Relevant Passages or Relevant Figures Appear
BJP	M	US-6,520,447 B2	07-2005	Pudipeddi et al.	
	AB	US-6,979,815	11-1999	Cabrera et al.	
	AC	US-8.701,415	12-1997	Wei, YHtsiu	
	AD	U9-4,901,231	02-1890	Bishop et et.	
	AE	US-2006/0010150 A1	01-12-2008	Shaath et el.	
BSP	AF	US-2002/0107878 A1	08-2002	Tsuchida et al.	
	AG	U8-			
	AH	US-			
	AJ	US-			
	AJ	US-			
	AK	US-			
	AL	US-			
	AM	-au			
	AN	U\$-			
	AO	US-			
	AΡ	U\$-		•	
	AQ	US-			
	AR	US-			

	FOREIGN PATENT DOCUMENTS									
Examiner			Publication Date	Name of Patentee or	Pages, Columna, Lines,					
Initiala*	Na.1	Country Code <sup>3</sup>	Number	(Manaum)	MILDO	Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear	70		
	AS				•					
	AT				5					
	AU									
	AV									
	AW									

				_
Examiner		Data	1 012-111	
	×31	Considered	1 8/20/66	
8fButtytup	1/002	CONSIDERA	1 0 1 0 1	

#### P.6

### RECEIVED CENTRAL FAX CENTER

MAR 2 8 2006

PTO/SB/08B (07-05)

Substitute for form 1448B/PTO				Complete if Known		
				Application Number	10/850,360	
INFO	RMATION	DISCLOS	URE	Filing Date	August 27, 2003	
STATEMENT BY APPLICANT			ANT	First Named Inventor	Leung, Albert	
				Art Unit	2189	
(Use as many cheets as necessary)				Examiner Name	Behzad Peikari	
Sheet	2	of	2	Attorney Docket Number	021154-000810US	

non patent literature documents						
Examiner Initials *	Cite No.1	include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), data, page(s), volume-tasse number(s), publisher, city and/or country where published.	T³			
	BA					
	BB	·				
	ВС					
	BD	·				
•	BE					
	BF					
	BG	·				
	вн					
	BI					
······································	BJ					

Examiner Signature	BM.	Date Considered	8/20/06

<sup>\*</sup>EXAMINER: britisi if reference considered, whether or put citation is in conformance with MPEP 606. Draw line through citation if not in conformance and not considered, include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). Applicant is to piece a check mark here if English language Translation is attached.

## RECEIVED CENTRAL FAX CENTER

NO. 5675 P. 5

### MAY 3 1 2006

PTO/SB/08A (07-05)

Subs	stitute for form 1449A/PTO			Complete If Known			
				Application Number	10/650,360		
IN	FORMATION DIS	CLQ	SURE	Filing Date	August 27, 2003		
S	STATEMENT BY APPLICANT			First Named Inventor	Leung, Albert		
				Art Unit	2189		
	(Uso as many shoots as n	000418F	<i>d</i>	Examiner Name	Behzad Pelkari		
Sheet	1	of	2	Attorney Docket Number	021154-000810US		

			U.S. PATENT DO	CUMENT9	•
xaminer vritids*	No.1	Document Number	Publication Date MM-DD-YYYY	Name of Patenice or Applicant of Cited Document	Pages, Cotumns, Unea, Whore Relevant Passages or Relevant Figures Appear
PCB	AA	US 6,990,667	01-24-2006	Ulrich et al.	
1	AB	US 5,317,728	05-31-1994	Tovis et al.	
7	AC	US 2003/0005457	01-02-2003	Faibish et al.	
exe	AD	US 2002/0174296	11-21-2002	Ulrich et al.	
		P. C.			·
		,			
			, ,, ,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,		
				I i	

	FOREIGN PATENT DOCUMENTS									
Examiner Initials*	Cite No.			Publication Date	Name of Patentse or	Pages, Columns, Lines, Where Relevant Passages				
ITHUSIS.		Country Code <sup>2</sup>	Number <sup>a</sup>	(Ind Code <sup>4</sup> (J known)	MM-DD-YYYY	Applicant of Cited Document	or Rolevant Figures Appear			
				<b>_</b>				<del></del>		
								<u>-</u>		
	1	1						ı		

Examiner Signature	Bhu	Oste Considered	8/20/06	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation dealgraption number (cottens). Kind Codes of U.S. Patent Documents at <a href="https://www.uson.cov">https://www.uson.cov</a> or MPEP 901.04. Enter Office that issued the document, by the two-latter code (WIPO Standard ST.3). For Japanese petent documents, the indication of the year of the reign of the Emperor must proceed the serial number of the petent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. Applicant is to piace a check mark have if English language Translation is attached.

PTO/SB/08B (07-05)

Substitu	te for form 14498/PTO		<del>-</del>	Complete If Known			
İ				Application Number	10/850,380		
INF	ORMATION DIS	SCLOS	SURE	Filing Date	August 27, 2003		
STA	STATEMENT BY APPLICANT			First Named Inventor	Leung, Albert		
				Art Unit	2189		
	(Use as many sheets as	nocessary)		Examiner Name	Behzad Peikari		
Sheet	2	of	2	Attorney Docket Number	021154-000810US		

	NON PATENT LITERATURE DOCUMENTS						
Examiner Initials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	7				
		-	-				
	***************************************		-				
	······································						
	·		_				
			_				
		·					

	Date Considered	8/20/06
--	--------------------	---------

60784084

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with rext communication to applicant.

Applicant's unique citation designation number (optional). 

Applicant is to place a check mark here if English ranguage Translation is extended.